

Attorney Docket # 5367-45

Express Mail #EV329599093US

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Tony ALBRECHT

Serial No.: n/a

Filed: concurrently

For: Optically Pumped Semiconductor Laser  
and Method for Producing the Same

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08A. Copies of the listed documents are also enclosed.

In accordance with 37 C.F.R. §§ 1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in § 1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

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If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08A be returned indicating that such information has been considered.

Respectfully submitted,  
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Dated: October 10, 2003

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| Substitute for Form 1449/PTO  |   | <i>Complete if Known</i> |               |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(Use as many sheets as necessary) |   | Application Number       |               |
|   |   | Filing Date              |               |
|   |   | First Named Inventor     | Tony ALBRECHT |
|   |   | Art Unit                 |               |
|   |   | Examiner Name            |               |
| Sheet   | 1 | of                       | 2             |
|   |   | Attorney Docket Number   | 5367-45       |

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages or<br>Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|--|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> , Number <sup>4</sup> ,<br>Kind-Code <sup>5</sup> (if known) |                                |  |   |                |
|                    |                       | DE 101 08 079 A1   | 09/2002                        | Albrecht   |   | Abstract       |
|                    |                       | DE 100 26 734 A1   | 12/2001                        | Albrecht   |   | Abstract       |
|                    |                       | EP 1 220 392 A2  | 12/2001                        | Clayton  |   |                |
|                    |                       |  |                                |  |   |                |
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|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

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37 CFR 1.10 (postpone). Applicant is to place a check mark here if English language Translation is attached.  
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.